

# **ROSS PROGRAM**

- **2004 Grant Categories**
  - **Resident Service Delivery Models (RSDM)-Family**
  - **RSDM-Elderly/Persons with Disabilities**
  - **Homeownership Supportive Services (HSS)**
  - **Public Housing FSS (PH FSS)**

# PROGRAM PURPOSE

- RSDM-Family: This program provides funding to create programs which will help Public and Indian Housing residents achieve economic self-sufficiency.

# PROGRAM PURPOSE

- RSDM-Elderly: This program provides funding to help grantees coordinate supportive services that will help elderly and/or disabled Public and Indian Housing residents continue to live independently.

# PROGRAM PURPOSE

- **HSS**: This category provides funds for homeownership training, counseling, and supportive services for residents of Public and Indian Housing who are participating or have participated in self-sufficiency programs.

# PROGRAM PURPOSE

- **PH FSS**: This category provides funding for PHAs to hire a program coordinator to link participating families to the supportive services they need to achieve self-sufficiency.

# **FUNDING AMOUNTS**

- **RSDM-Family: \$16 million**
- **RSDM-Elderly: \$11.4 million**
- **HSS: \$13.2 million**
- **PH FSS: \$16 million**

# ELIGIBILITY

- **RSDM-Family and Elderly/Disabled:**
  - PHAs
  - Tribes/TDHEs
  - RAs
  - Nonprofits, including community-based, grass-roots, and faith-based organizations

# ELIGIBILITY

- **HSS:**
  - PHAs
  - Tribes/TDHEs
  - Nonprofits, including community-based, grass-roots, and faith-based organizations
- **PH FSS:**
  - PHAs only.



# NUMBER OF APPLICATIONS

- **PHAs**—one application per category.
- **Resident groups**—one application for RSDM-Family and one for RSDM-Elderly.
- **Tribes/TDHEs**—one for each, RSDM-Family, Elderly, and HSS.
- **Nonprofits**—one for each, unless targeting residents from distinct PHAs.
- **Joint applicants**—PHAs, RAs cannot be both a joint applicant and a sole applicant in the same grant category.

# MAXIMUM FUNDING AMOUNTS

- **RSDM-Family:**
  - **PHAs and Tribes:**
    - **1-780 units: \$250,000**
    - **781-2,500 units: \$350,000**
    - **2,501-7,300 units: \$500,000**
    - **7,301 or more units: \$1,000,000**
  - **RAs: \$125,000 max**
  - **Nonprofits: \$125,000 for each RA, max of \$375,000.**

# **MAXIMUM FUNDING AMOUNTS**

- **RSDM-Elderly:**
  - **PHAs and Tribes:**
    - **1-217 units: \$200,000**
    - **218-1,155 units: \$300,000**
    - **1,156 or more units: \$400,000**
  - **RAs: \$125,000 max**
  - **Nonprofits: \$125,000 for each RA, max of \$375,000.**

# MAXIMUM FUNDING AMOUNTS

- **HSS:**
  - **PHAs and Tribes:**
    - **1-780 units: \$250,000**
    - **781-2,500 units: \$350,000**
    - **2,501-7,300 units: \$500,000**
    - **7,301 or more units: \$1,000,000**
  - **Nonprofits: \$125,000 for each RA, max of \$375,000.**

# **MAXIMUM FUNDING AMOUNTS**

- **PH FSS:**

- **Renewal PHAs: \$63,000 max per program coordinator position filled in either of the past 2 years**
- **New PHAs: \$63,000 max for one program coordinator position.**
- **Salaries must be comparable to rates paid similar professions in local area.**

# **APPLICATION DEADLINES**

- **RSDM-Elderly: July 1, 2004**
- **RSDM-Family: July 16, 2004**
- **PH FSS: July 28, 2004**
- **HSS: August 10, 2004**

# **WHERE TO SEND APPLICATIONS**

- **Send the original and one identical copy to:**
  - **HUD Grants Management Center,  
Mail Stop: *Name of Program*,  
2001 Jefferson Davis Hwy, Suite  
703, Arlington, VA 22202**
- **One identical copy to your local HUD field office.**

# **CHANGES FROM LAST YEAR'S NOFA**

- **Two significant changes:**
  - 1. The NOFA format has changed substantially.**
  - 2. The PH FSS program is now part of ROSS.**
- **All NOFAs:**
  - **No contract administrator fee**
  - **Letters of support for nonprofits (except FSS)**



# **CHANGES FROM LAST YEAR'S NOFA**

- **All NOFAs:**
  - **Nonprofits must submit letters from IRS showing nonprofit designation (except FSS)**
  - **Minimum score this year is 75**
  - **Any application proposing one or more ineligible activity will be eliminated**

# **CHANGES FROM LAST YEAR'S NOFA**

- **All NOFAs:**
  - **Project Coordinator salary is up to \$63,000 or 30% of grant amount (last year it was 20%)**
  - **Applicants will have to submit HUD-27300 “Questionnaire for HUD’s Initiative on Removal of Regulatory Barriers”**

# CHANGES FROM LAST YEAR'S NOFA

- **RSDM-Family:**
  - Resident salaries are not part of administrative costs (can use up to 5% of grant funds for this purpose)
  - No contract administrator fee

# CHANGES FROM LAST YEAR'S NOFA

- **RSDM-Elderly:**
  - No funding for contract administrator
  - Assistance with daily activities is not eligible
- **HSS:**
  - No funding for contract administrator

# **ELIGIBLE DEVELOPMENTS & PARTICIPANTS**

- **Only public housing developments may be served by ROSS grant funds.**
- **All participants must be residents of public housing.**

# **APPLICATION FORMAT**

- **Follow the format as described in the NOFA (Section IV, B, (2) (b))**
- **Submit documents and narrative responses under TABs as outlined in the NOFA**
- **Page limit for narrative is 35 pages**
  - **Times New Roman font size 12**
  - **Double spaced**

# THRESHOLD REQUIREMENTS

- All Categories:
- Past performance
- Contract Administrator partnership agreement for troubled PHAs
- Minimum score of 75 in order to be funded
- DUNN & Bradstreet number-  
[www.dnb.com/us](http://www.dnb.com/us)
- Ineligible activities – applicants proposing one or more will be eliminated.

# THRESHOLD REQUIREMENTS

- All categories except FSS:
- Applicants requesting funding in excess of the maximum grant which they are eligible to receive will not receive funding consideration.
- 501(c)(3) status must be documented with IRS designation letter.



# THRESHOLD REQUIREMENTS

- All categories except FSS:
- Nonprofit applicants must demonstrate support from Resident Associations, RABs, civic organizations, or units of local government
- All applicants must clearly demonstrate at least a 25% match

# THRESHOLD REQUIREMENTS

- HSS program only:
  - PHA/tribes/TDHE applicants with Homeownership Voucher Programs must provide a letter with their applications saying they will make 10 homeownership vouchers available to eligible participants annually.

# THRESHOLD REQUIREMENTS

- FSS program only:
  - PHAs must submit an Action Plan outlining their FSS proposal to HUD field office for approval at least 30 days *before* NOFA deadline.
  - Field offices will inform HUD of their approval separately.

# **PROGRAM REQUIREMENTS**

- **Program evaluations – grantees should reserve a portion of their funds for this purpose (except FSS).**
- **Contract Administrators – must help grantees meet federal procurement and financial management regulations.**
- **Other requirements as outlined in the General Section.**

# **JOINT APPLICANTS**

- **Joint Applicants – must designate a lead applicant.**
- **Non-lead applicants are subject to the following threshold requirements as applicable: d) Letters of support for nonprofit applicants and e) Nonprofit status, as outlined in *Threshold Requirements*.**

# **ELIGIBLE ACTIVITIES**

- **RSDM-FAMILY:**
  - **Project coordinator**
  - **Life skills training**
  - **Job training, job search and placement**
  - **Post employment follow-up**
  - **Activities to support career advancement**

# ELIGIBLE ACTIVITIES

- **RSDM-FAMILY:**
  - Stipends
  - Hiring Residents
  - Supportive services

# **ELIGIBLE ACTIVITIES**

- **RSDM-Elderly:**
  - **Project coordinator**
  - **Coordination and set-up of meal services**
  - **Coordination and set-up of transportation services**
  - **Wellness programs**
  - **Personal emergency response**



# ELIGIBLE ACTIVITIES

- **RSDM-Elderly:**
  - Congregate services
  - Case management
- **HSS:**
  - Project coordinator
  - Homeownership training
  - Individual Savings Accounts

# **FSS NOFA HIGHLIGHTS**

- **PH FSS is a new competitive program this year.**
- **Applicants must work with a Program Coordinating Committee to bring resources and services to residents**
- **The FSS Action Plan must describe:**
  - **Policies and procedures**
  - **Families to be targeted**
  - **Activities and supportive services**

# **ELIGIBLE ACTIVITIES**

- **FSS:**
  - **Program Coordinator**
    - **Work with PCC and local service providers**
    - **Case management**
    - **Outreach to residents with disabilities**

# **INELIGIBLE ACTIVITIES**

- **Payment of wages/salaries to residents for receiving services**
- **Purchase, lease, rental of land**
- **Purchase, lease, rental of vehicles**
- **Entertainment costs**
- **Purchasing food**
- **Payment for Elderly/Disabled Service Coordinator salary**

# **INELIGIBLE ACTIVITIES**

- **Payment of wages/salaries to doctors, nurses, or other staff (including health aids or companions)**
- **Purchase of prescription and non-prescription drugs**
- **Stipends (except RSDM-Family)**

# **INELIGIBLE ACTIVITIES**

- **Down payment assistance (except ISA provision under RSDM-Family and HSS)**
- **Revolving loan funds**
- **Costs which exceed limits for:**
  - **Project coordinator, resident salaries, ISAs, stipends, administrative costs**
- **Costs of application preparation**

# **INELIGIBLE ACTIVITIES**

- **Physical improvements**
- **Construction costs**
- **Salary for HCV FSS coordinator**
- **Costs of application preparation**
- **Costs that do not support the program**

# **FUNDING RESTRICTIONS**

- **No grant funds may be used to pay for fee or salary of contract administrator (except FSS).**
- **Project coordinator - \$63,000 but not more than 30% of grant**
- **FSS Program coordinator - \$63,000 max**
- **No reimbursement from grant funds for application preparation**



# **FUNDING RESTRICTIONS**

- **Administrative costs – max: 10% of grant max (except FSS)**
- **ISAs – max: 20% of grant**
- **Resident salaries – max: 5% of grant**
- **Stipends - \$200 per month per resident who is satisfactorily completing training and who has valid receipts.**

# **RATING FACTORS**

**In addition to eligibility and threshold requirements, applications will be evaluated based on the rating factors.**

# **RATING FACTOR 1**

- **Capacity of the Applicant and Relevant Organizational Staff**
  - **Narrative Response**
  - **Staff experience**
  - **Organizational capacity (Staff capacity for FSS)**
  - **Past Performance**
  - **Program Administration & Fiscal Management**

# **RATING FACTOR 2**

- **Need/Extent of the Problem**
  - **Narrative Response**
  - **Socioeconomic Profile (All)**
  - **Local Training Program information (RSDM-Family, HSS, FSS)**
  - **Local Social Service Information (RSDM-Elderly)**
  - **Demonstrated Link Between Proposed Activities and Local Need (except FSS)**
- **Use quantifiable measures/statistics**

# **RATING FACTOR 3**

## **(Except FSS)**

- **Soundness of Approach**
  - **Narrative Response**
  - **Quality of work plan**
    - **Specific Services/Activities**
      - **RSDM-Family: Enhancing economic opportunity**
      - **RSDM-Elderly: Enhancing residents' quality of life**
      - **HSS: Enhancing homeownership opportunities**

# **RATING FACTOR 3**

## **(Except FSS)**

- **Soundness of Approach**
  - **Quality of Work Plan**
    - **Feasibility and Demonstrable Benefits**
    - **Logical order of activities**
  - **Budget Appropriateness**
    - **Justification of expenses**
    - **Budget efficiency**

# **RATING FACTOR 3**

## **(FSS Category)**

- **Soundness of Approach**
  - **Quality of Proposal**
    - **Scope of Services**
    - **Feasibility and Demonstrable Benefits**
  - **Salary Appropriateness**

# **RATING FACTOR 3**

## **(All Categories)**

- **Addressing HUD's policy priorities:**
  - **Improving the Quality of Life in Our Nation's Communities (RSDM and FSS programs)**
  - **Providing Increased Homeownership Opportunities (HSS)**
  - **Providing Equal Access to Grassroots Organizations (All applicants)**
  - **Removal of Regulatory Barriers (All applicants)**



# **RATING FACTOR 4 – Leveraging Resources (Not applicable to FSS)**

- **Leveraging Resources**
  - **Narrative Response**
  - **Clearly indicate match**
  - **25% minimum, more points for higher match percentage**
  - **More points for partnerships**

# **RATING FACTOR 5**

## **(Rating Factor 4 for FSS)**

- **Achieving Results and Program Evaluation**
  - **Narrative Response**
  - **Must use Logic Model (HUD-96010)**
    - **Outputs**
    - **Outcomes**
  - **The application provides a list of sample performance measures to help with this Rating Factor.**

# **REVIEW PROCESS**

- **Four Types of Review:**
  - **Eligibility;**
  - **Complete, meets deadline and threshold;**
  - **Past performance; and**
  - **Technical review (Rating Factors)**

# REVIEW PROCESS

- Applicants must send local field offices an identical copy of their application by the deadline. Field offices will review applications for past performance using Rating Factor 1.

# **WHAT MAKES A GOOD APPLICATION**

- **Follow outline of NOFA**
- **Narrative should be clear and concise**
- **Submit supporting documents and forms according to format provided in NOFA**

# **POST-AWARD INFORMATION**

- **Performance Reporting**
  - **Grantees must report to field offices twice a year (January and July). Reports must consist of the financial reporting form HUD-269A, a narrative explaining progress, problems, etc. and the Logic Model.**

# APPLICABLE REGULATIONS

- **Code of Federal Regulations (CFR):**
  - Administrative requirements for Nonprofits - 24 CFR Part 84
  - Administrative requirements for State, Local and Tribal governments - 24 CFR Part 85
  - RSDM programs and HSS: 24 CFR part 964
  - PH FSS: 24 CFR part 984
  - The CFR can be found at:  
<http://www.gpoaccess.gov/cfr/index.html>

# **APPLICABLE REGULATIONS**

- **OMB Regulations:**
  - **State, Local, Tribal Governments:** OMB Circulars A-87 and A-133.
  - **Nonprofits:** OMB Circulars A-110, A-122, and A-133.
- **OMB Circulars can be found at:**  
**<http://www.whitehouse.gov/omb/circulars/index.html>**



# QUESTIONS & ANSWERS